

Freedom of Information Act 2000 disclosure log entry

Reference

21-22288

Date sent

10/05/2022

Subject

Risk Management Strategy

Details of enquiry

1. Please provide a copy of your organisations Risk Management Strategy
2. Please provide a copy of your organisations Risk Management Policy if this is a separate document to the Strategy
3. Please provide your organisations Risk Appetite Statement
4. Please provide your organisations approach to risk tolerance
5. Please provide the minutes and any associated papers from the last meeting where your Board of Directors reviewed the Trust's risk appetite statement and setting the risk tolerance levels within the organisation
6. Please provide a copy of your organisations latest Corporate Risk Register Report
7. Please provide a copy of your organisations latest Board Assurance Framework
8. Please provide a copy of your latest Risk Management Internal Audit report
9. Please confirm how your organisation records risk – do you use a system, if so which system e.g. in house, Ulysses, Datix, Radar etc, or do you use excel spreadsheets?
10. Please provide the risk management role structure within your organisation including the Banding of these roles

Response sent

1. Please provide a copy of your organisations Risk Management Strategy
This is publicly available on the Trust's website, and may be reached via the following link:
[Risk management procedure](#)
2. Please provide a copy of your organisations Risk Management Policy if this is a separate document to the Strategy
This is publicly available on the Trust's website, and may be reached via the following link:
[Risk management policy and strategy](#)
3. Please provide your organisations Risk Appetite Statement
This is publicly available on the Trust's website, and may be reached via the following link:
Please see Board papers of November 2021 where this is referred to under the BAF update (page 90) <https://tavistockandportman.nhs.uk/about-us/governance/board-of-directors/meetings/>
4. Please provide your organisations approach to risk tolerance
Please see link provided to Q3 above, this section is located under Strategy
5. Please provide the minutes and any associated papers from the last meeting where your Board of Directors reviewed the Trust's risk appetite statement and setting the risk tolerance levels within the organisation
Please see link provided to Q3 above:
6. Please provide a copy of your organisations latest Corporate Risk Register Report

We have considered your request for this, and concluded that the exemption under Section 31(a) *Law Enforcement: the prevention or detection of crime is engaged*. We have carried out the public interest in respect of this element of your request.

Arguments in favour of disclosure:

- There is undoubtedly a public interest in how public bodies monitor/measure and report risks to the Trust's operations
- There is a public interest in how public funds are utilised and spent.

Arguments against disclosure:

- Disclosure of this information would risk prejudicing the security of our risks by facilitating criminal activity, including cybercrime, particularly when combined with other information that may be gleaned from other sources.
- Any compromise to the confidentiality, integrity or availability of reported organisational risks is likely to impact on our operations. Any impact on services could compromise patient safety and wellbeing.
- Any incident that results in a loss of confidentiality, integrity or availability of data could require substantial resources to resolve and incur significant costs

We have concluded that, on balance, the public interest in maintaining the exemption outweighs the public interest in disclosure

7. Please provide a copy of your organisations latest Board Assurance Framework
 - This is publicly available on the Trust's website, and may be reached via the following link to the Board Papers for the Meeting held on Tuesday 30 November, 2 to 5pm [Meeting agenda and papers](#) on pages 88-106
8. Please provide a copy of your latest Risk Management Internal Audit report
This is publicly available on the Trust's website, and may be reached within the board papers. Last reviewed in November 2021 Board meeting (pages 88 – 106)
<https://tavistockandportman.nhs.uk/about-us/governance/board-of-directors/meetings/>
9. Please confirm how your organisation records risk – do you use a system, if so which system e.g. in house, Ulysses, Datix, Radar etc, or do you use excel spreadsheets?
In-house system is used
10. Please provide the risk management role structure within your organisation including the Banding of these roles
This is currently under review, as part of the Trust's Strategic Review