

Freedom of Information Act 2000 disclosure log entry

Reference

20-21029

Date sent

12/06/20

Subject

Whistleblowing and freedom to speak up

Details of enquiry

Please find below my FOI request.

1. How many whistleblowing contacts have been raised within the Trust in the following time periods:

March 2019
April 2019
March 2020
April 2020

If possible, please break this down by whether the case was raised with:

- a) The Trust's Freedom to Speak Up Guardian
- b) The Trust's other persons with responsibility for whistleblowing? This could include (but may not be limited to): executive director with responsibility for whistleblowing; non-executive director with responsibility for whistleblowing.

If it isn't possible to break it down in such a fashion, just give the aggregate figures.

2. Does the Trust hold data about these contacts/cases in a spreadsheet or database? If so, please could you provide a list of the data fields – for clarity, this could include but is not limited to categories such as “type of complaint”, “status of complaint”, etc.

For each item on the list of data fields, please state if the value is to be filled in as a free text field, or a value selected from a drop-down menu. For each of the fields where a value is to be selected from a drop-down menu, please include the options from the drop-down menu.

Response Sent

Thank you for your request for information below which we received on 29 May 2020. Your request has been considered in line with the requirements of the FOI Act 2000, and the Trust's response to the information requested is as below:

1) How many whistleblowing contacts have been raised within the Trust in the following time periods?

If possible, please break this down by whether the case was raised with:

- a) The Trust's Freedom to Speak Up Guardian**
- b) The Trust's other persons with responsibility for whistleblowing? This could include (but may not be limited to): executive director with responsibility for whistleblowing; non-executive director with responsibility for whistleblowing.**

If it isn't possible to break it down in such a fashion, just give the aggregate figures

March 2019 –

Case raised with Trust's Freedom to speak up guardian - 17

Case raised with Trust's other persons with responsibility for whistleblowing - 0

April 2019 –

Case raised with Trust's Freedom to speak up guardian - 2

Case raised with Trust's other persons with responsibility for whistleblowing - 0

March 2020 –

Case raised with Trust's Freedom to speak up guardian - 2

Case raised with Trust's other persons with responsibility for whistleblowing - 0

April 2020

Case raised with Trust's Freedom to speak up guardian - 3

Case raised with Trust's other persons with responsibility for whistleblowing - 0

2. Does the Trust hold data about these contacts/cases in a spreadsheet or database? If so, please could you provide a list of the data fields – for clarity, this could include but is not limited to categories such as “type of complaint”, “status of complaint”, etc.

For each item on the list of data fields, please state if the value is to be filled in as a free text field, or a value selected from a drop-down menu. For each of the fields where a value is to be selected from a drop-down menu, please include the options from the drop-down menu.

In 2019 we did not hold a spreadsheet for cases raised with the Trust's Freedom to speak up guardian but had a confidential file where contacts were logged anonymously under the following headings:

- Date of contact
- ID
- Directorate/Profession
- Nature of Concern and category
- Follow Up /Feedback

For 2020 we hold a spreadsheet for cases raised with the Trust's Freedom to speak up guardian. All fields are completed as free text fields. The list of data fields are as following:

- ID
- Professional Background
- Date of fist contact
- Was This raised anonymously
- Detail
- Area of Concern
- Area of Concern 2
- Desired outcome
- Further Action
- Outcome
- Detriment caused by speaking up
- Feedback
- Learning Points
- Demographics

We do not hold a database for Cases raised with Trust's other persons with responsibility for whistleblowing for 2019 or 2020 as we did not receive any cases.