

Freedom of Information Act 2000 disclosure log entry

Reference

19-20252

Date sent

15/11/2019

Subject

Information Governance (IG) Breaches 2017-19

Details of enquiry

My request is as follows:

1. Please disclose the number of data breach referrals made to the ICO in 2017;
2. Please disclose the number of data breach referrals made to the ICO in 2018 and to date in 2019;
3. For 2018 and 2019, please provide a description of each referral, who was affected and how many people were affected, and the outcome, including any action taken, including disciplinary action, as a result.

Response Sent

1. Please disclose the number of data breach referrals made to the ICO in 2017;
3 incidents reported to the ICO in 2017
2. Please disclose the number of data breach referrals made to the ICO in 2018 and to date in 2019;
 - **0 (Zero) incidents reported to ICO in 2018**
 - **3 incidents reported to ICO in 2019 to date**
3. For 2018 and 2019, please provide a description of each referral, who was affected and how many people were affected, and the outcome, including any action taken, including disciplinary action, as a result.
 - **Clinic letter disclosed in error to one individual and a legal firm involved in their case and impacting one active patient – no disciplinary action taken and additional processes and training put in place with regard to review of patient notes being issued to solicitors.**
 - **Onward referral of a single patient to their own employing NHS organisation impacting one individual who had been referred to the Trust – no disciplinary action taken and additional processes and training implemented for our single point of access onward referrals process.**
 - **Breach of patient email addresses as part of publication of an art competition impacting a total of 1781 individuals, all active patients of the Trust – serious incident report has been completed and issued to ICO. Decisions on further actions are pending the outcome of that investigation. Actions to date include apologies and additional support made available to impacted individuals. Technical controls to limit maximum recipient numbers in place. Action plan includes procurement of software to allow individual mails to be sent to large distributions avoiding the risk of repeat of this incident type.**