# Freedom of Information Act 2000 disclosure log entry

#### Reference

18-19297

#### Date sent

11/01/2018

### Subject

Requests to Use and Access Trust Data 2015/16 to 2017/18

## Details of enquiry

I would like to ask your NHS trust about any requests to use or access data for commercial or research purposes of which, it is/has been a controller, joint controller or controller in common, in the financial years 2015-16, 2016-17 and 2017-18 as follows:

- 1. the number of requests made in each of the financial years 2015-16, 2016-17 and 2017-18;
- 2. the percentage of requests that have been accepted, rejected or held pending in each of the financial years 2015-16, 2016-17 and 2017-18;
- 3. a breakdown of the form, size and sector type of the entities that made the requests in each of the financial years 2015-16, 2016-17 and 2017-18; and
- 4. a breakdown of the form, size and sector type of the entities whose requests were successful in each of the financial years 2015-16, 2016-17 and 2017-18; and
- 5. a percentage of those successful requests which resulted in the trust, or its constituent hospitals, entering into a commercial or financial relationship with the requestor or its affiliates in each of the financial years 2015-16, 2016-17 and 2017-18.
- 6. Notwithstanding the above, can the trust confirm whether it, or one of its constituent hospitals, has entered into a commercial or financial relationship with any of the following entities as a result of the requests made in each of the financial years 2015-16, 2016-17 and 2017-18? Google; DeepMind; Sensyne Health; Benevolent Al; Orion Health; IBM;
- 7. can the trust supply a copy of the trust's intellectual policy document/s, if any covering the period 2015-present?

## Response Sent

 the number of requests made in each of the financial years 2015-16, 2016-17 and 2017-18;
 Our response to this and all the following questions refers to the number of requests the Trust has received for Research purposes only.

2. the percentage of requests that have been accepted, rejected or held pending in each of the financial years 2015-16, 2016-17 and 2017-18;

All approved research candidates require Health Research Authority approval, which comprises a review by a NHS Research Ethics Committee (REC) (where required) as well as an assessment of regulatory compliance and related matters undertaken by HRA staff.

# The Tavistock and Portman **NHS**

**NHS Foundation Trust** 

This process, allows NHS Trusts to focus on study set up and assess, arrange and confirm their capacity and capability to deliver the study and is explained more fully at:

https://www.hra.nhs.uk/planning-and-improving-research/policies-standards-legislation/uk-policy-

framework-health-social-care-research/ and at

http://www.hra.nhs.uk/resources/hra-approval-applicant-guidance/hra-assessmentcriteria-and-standards/

Approval Status	FY 15-16	FY 16-17	FY 17-18
Percentage of Requests Accepted	100	100	100
Percentage of Requests Rejected	0	0	0

3. A breakdown of the form, size and sector type of the entities that made the requests in each of the financial years 2015-16, 2016-17 and 2017-18;

We do not collate data on the requesting entities form, size and sector, and just include sponsor type and sponsor name for each application received, as indicated below:

(All requests)						
Sponsor Type	Sponsor Name	<u>FY</u> 15-16	<u>FY</u> 16-17	<u>FY</u> <u>17-18</u>		
HEI	Bucks New University					
	Canterbury Christ Church University		1	2		
	City University London			1		
	Lancaster University	1				
	UCL (University College London)	2	2	1		
	University of East London	1		2		
	University of Edinburgh		1			
	University of Essex		2			
	University of Lincoln			1		
	University of Manchester	1		1		
NHS Trusts	TAVISTOCK AND PORTMAN NHS FOUNDATION TRUST		1			
Others						
	N/A	1				
	TOTAL requests	6	7	8		

4. A breakdown of the form, size and sector type of the entities whose requests were successful in each of the financial years 2015-16, 2016-17 and 2017-18;

We do not collate data on the successful entities form, size and sector, and just include sponsor type and sponsor name for each application received, as indicated below:

(Successful requests)						
Sponsor Type	Sponsor Name	<u>FY</u> <u>15-16</u>	<u>FY</u> <u>16-17</u>	<u>FY</u> <u>17-18</u>		
HEI	Bucks New University					
	Canterbury Christ Church University		1	2		
	City University London			1		
	Lancaster University	1				
	UCL (University College London)	2	2	1		
	University of East London	1		2		
	University of Edinburgh		1			
	University of Essex		2			
	University of Lincoln			1		
	University of Manchester	1		1		
NHS Trusts	TAVISTOCK AND PORTMAN NHS FOUNDATION TRUST		1			
Others						
	N/A	1				
	TOTAL requests	6	7	8		

- 5. a percentage of those successful requests which resulted in the trust, or its constituent hospitals, entering into a commercial or financial relationship with the requestor or its affiliates in each of the financial years 2015-16, 2016-17 and 2017-18.
  - We are unable to provide this data as it is not reported centrally or collated centrally.
- 6. Notwithstanding the above, can the trust confirm whether it, or one of its constituent hospitals, has entered into a commercial or financial relationship with any of the following entities as a result of the requests made in each of the financial years 2015-16, 2016-17 and 2017-18? Google; DeepMind; Sensyne Health; Benevolent AI; Orion Health; IBM;
  - Please see our response to 5. above. Our R&D department has however confirmed that they have not entered into any agreements or relationships with any of these organisations, however we are unable to confirm or deny whether any other parts of this trust have done so because we do not operate any Trustwide systems to collate this data.
- 7. can the trust supply a copy of the trust's intellectual policy document/s, if any covering the period 2015-present?
  - The Trust does not have an intellectual policy document. All our policies are publicly available via our website, and may be reached via:
  - https://tavistockandportman.nhs.uk/about-us/governance/policies-and-procedures/