

Freedom of Information Act 2000 disclosure log entry

Reference

18-19021

Date sent

14/05/2018

Subject

Referrals to Fitzjohns Unit

Details of enquiry

The Trust operates the Fitzjohns Unit described on the website as 'Specialist outpatient service for patients with complex needs including personality disorder, Please respond to the following:

- (1) Please provide a copy of the referral form used by professionals referring patients including a copy of any risk assessment used
- (2) Please provide a copy of the referral and exclusion criteria for professionals referring
- (3) Please provide a copy of the consent to store and share data form used for patients referred and the same used for patients accepted for treatment
- (4) Please confirm if a patient has to agree to referral.
 1. If not, during the period of 2014 - 2016 how many 'covert' referrals were made whereby the patient was not aware of referral or refused consent to refer?
- (5) If a patient was known to refuse consent for referral and refused consent to share data with the Trust through the referral procedure under what circumstances would the referral be accepted??
- (6) Please confirm or otherwise if patients with a working diagnosis of psychotic illness and clustered as such by secondary /tertiary services are automatically excluded from referral and/or assessment
- (7) Please confirm if you keep records of the clustered diagnoses of patients referred (where known). If so please provide a breakdown of this for the years 2014 – 2016
- (8) Please confirm or otherwise: If a patient is already under the care and receiving treatment at a specialist tertiary service does this meet automatic exclusion criteria (assuming that treatment and assessment was planned to continue)
- (9) Please provide a copy of the full confidentiality policy /policies covering periods 2014 - 2018

Response Sent

- (1) Please provide a copy of the referral form used by professionals referring patients including a copy of any risk assessment used
Referrals are made by letter, there is no formal referral form as such
- (2) Please provide a copy of the referral and exclusion criteria for professionals referring
The department will consider all referrals on a case by case basis, and we accept referrals from several sources, but prefer these to come from healthcare professionals (e.g. psychiatrist or general practitioners)
- (3) Please provide a copy of the consent to store and share data form used for patients referred and the same used for patients accepted for treatment
The Trust does not seek consent for the processing of personal information where the Trust is able to process that information under Data Protection Act Schedule 3 grounds or 8 grounds whereby "processing" is necessary for medical purposes and is undertaken by

- a. a health professional or
- b. a person who, in the circumstances, owes a duty of confidentiality which is equivalent to that which would arise if that person were a health professional”

The Trust seeks consent to process data for purposes other than such medical purposes, such as for electronic communication or research.

- (4) Please confirm if a patient has to agree to referral.
If not, during the period of 2014 - 2016 how many 'covert' referrals were made whereby the patient was not aware of referral or refused consent to refer?
As this is a referral for psychological treatment, it is very rare (IF not ever) that a patient will have been referred without their knowing. If we were to receive such a referral, we would not offer an assessment as engagement with psychotherapy requires motivation on the part of the patient to engage with treatment.
- (5) If a patient was known to refuse consent for referral and refused consent to share data with the Trust through the referral procedure under what circumstances would the referral be accepted??
A referral would not be accepted on this basis.
- (6) Please confirm or otherwise if patients with a working diagnosis of psychotic illness and clustered as such by secondary /tertiary services are automatically excluded from referral and/or assessment
We would not necessarily reject the referral of a patient with a history of psychosis. We would NOT BE LIKELY however TO offer an assessment to a patient who is currently suffering from a psychotic episode.
- (7) Please confirm if you keep records of the clustered diagnoses of patients referred (where known)
If so please provide a breakdown of this for the years 2014 – 2016
(Please refer to table provided on next three pages)

Counts patients per primary diagnosis, between financial years 2014 and 2016	
Primary Diagnosis	Patients
F60.3 - Emotionally unstable personality disorder	60
F60.31 - Emotionally unstable personality disorder Borderline type	20
F60.4 - Histrionic personality disorder	2
F60.5 - Anankastic personality disorder	1
F60.6 - Anxious [avoidant] personality disorder	4
F60.7 - Dependent personality disorder	5
F60.8 - Other specific personality disorders	3
F60.9 - Personality disorder, unspecified	17
F61 - Mixed and other personality disorders	6
F61.X - Mixed and other personality disorders	4
F62 - Enduring personality change not attrib to brain damag / dis	1
F62.0 - Enduring personality change after catastrophic experience	2
F64.0 - Transsexualism	1
F64.9 - Gender identity disorder, unspecified	1
F65.5 - Sadomasochism	1
F65.6 - Multiple disorders of sexual preference	1
F65.9 - Disorder of sexual preference, unspecified	1
F66 - Psychol and behav disorder assoc with sex dev and orientat	1
F68.0 - Elaboration of physical symptoms for psychological reasons	27
F69 - Unspecified disorder of adult personality and behaviour	1
F84.5 - Asperger's syndrome	4
F92.8 - Other mixed disorders of conduct and emotions	1
F92.9 - Mixed disorder of conduct and emotions, unspecified	2
F93 - Emotional disorders with onset specific to childhood	16
FXX - Diagnosis not Specified	1
M79.7 - Fibromyalgia	1
R41 - Oth symptoms & signs involv cognitive function and awarenes	1
Z61.2 - Altered pattern of family relationships in childhood	1
Z61.4 - Probs rel alleged sex abuse child by person in prim sup grp	2
Z61.6 - Problems related to alleged physical abuse of child	1
Z62.0 - Inadequate parental supervision and control	2
Z63.0 - Problems in relationship with spouse or partner	1
Z73.6 - Limitation of activities due to disability	1
F00-F99 - Mental and behavioural disorders	1
F01 - Vascular dementia	1
F03 - Unspecified dementia	2
F06.7 - Mild cognitive disorder	1
F07 - Personality and behav disord brain dis dam and dysfunction	1

Primary Diagnosis	Patients
F07.9 - Unspec organ personality behav disorder brain dam dysfunc	1
F10.1 - Mental and behav dis due to use of alcohol: harmful use	2
F10.2 - Mental and behav dis due to use of alcohol: dependence synd	2
F10.5 - Mental & behav dis due to use of alcohol: psychotic disorder	1
F10.6 - Mental and behav dis due to use of alcohol: amnesic syndrome	1
F13.1 - Men & behav dis due use seds/hypnotics: harmful use	1
F13.2 - Men & behav dis due use seds/hypnotics: dependence syndrome	1
F20.0 - Paranoid schizophrenia	1
F21 - Schizotypal disorder	4
F22 - Persistent delusional disorders	1
F22.0 - Delusional disorder	1
F23.3 - Other acute predominantly delusional psychotic disorders	1
F25.0 - Schizoaffective disorder, manic type	1
F28 - Other nonorganic psychotic disorders	1
F31 - Bipolar affective disorder	3
F31.0 - Bipolar affective disorder, current episode hypomanic	4
F31.2 - Bipolar affect disorder cur epi manic with psychotic symp	1
F31.7 - Bipolar affective disorder, currently in remission	1
F31.9 - Bipolar affective disorder, unspecified	1
F32 - Depressive episode	22
F32.0 - Mild depressive episode	25
F32.1 - Moderate depressive episode	52
F32.2 - Severe depressive episode without psychotic symptoms	25
F32.3 - Severe depressive episode with psychotic symptoms	5
F32.8 - Other depressive episodes	4
F32.9 - Depressive episode, unspecified	3
F33 - Recurrent depressive disorder	45
F33.0 - Recurrent depressive disorder, current episode mild	40
F33.1 - Recurrent depressive disorder, current episode moderate	50
F33.2 - Recurrent depress disorder cur epi severe without psyc symp	23
F33.3 - Recurrent depress disorder cur epi severe with psyc symp	4
F33.4 - Recurrent depressive disorder, currently in remission	4
F33.9 - Recurrent depressive disorder, unspecified	7
F34 - Persistent mood [affective] disorders	3
F34.0 - Cyclothymia	3
F34.1 - Dysthymia	3
F34.8 - Other persistent mood [affective] disorders	4
F34.9 - Persistent mood [affective] disorder, unspecified	4

Primary Diagnosis	Patients
F39 - Unspecified mood [affective] disorder	3
F39.X - Unspecified mood [affective] disorder	3
F40 - Phobic anxiety disorders	3
F40.0 - Agoraphobia	5
F41 - Other anxiety disorders	25
F41.0 - Panic disorder [episodic paroxysmal anxiety]	5
F41.1 - Generalized anxiety disorder	37
F41.2 - Mixed anxiety and depressive disorder	173
F41.3 - Other mixed anxiety disorders	4
F41.9 - Anxiety disorder, unspecified	8
F42 - Obsessive-compulsive disorder	7
F42.0 - Predominantly obsessional thoughts or ruminations	6
F42.2 - Mixed obsessional thoughts and acts	1
F42.8 - Other obsessive-compulsive disorders	1
F42.9 - Obsessive-compulsive disorder, unspecified	1
F43 - Reaction to severe stress, and adjustment disorders	53
F43.0 - Acute stress reaction	9
F43.1 - Post-traumatic stress disorder	30
F43.2 - Adjustment disorders	18
F43.8 - Other reactions to severe stress	3
F43.9 - Reaction to severe stress, unspecified	5
F44 - Dissociative [conversion] disorders	1
F44.5 - Dissociative convulsions	1
F45 - Somatoform disorders	21
F45.0 - Somatization disorder	14
F45.1 - Undifferentiated somatoform disorder	1
F45.2 - Hypochondriacal disorder	2
F45.4 - Persistent somatoform pain disorder	14
F45.8 - Other somatoform disorders	1
F45.9 - Somatoform disorder, unspecified	3
F48 - Other neurotic disorders	1
F48.0 - Neurasthenia	1
F50 - Eating disorders	1
F50.0 - Anorexia nervosa	4
F50.1 - Atypical anorexia nervosa	2
F50.2 - Bulimia nervosa	4
F50.3 - Atypical bulimia nervosa	1
F50.4 - Overeating associated with other psychological disturbances	1
F51.0 - Nonorganic insomnia	1
F52.0 - Lack or loss of sexual desire	1
F52.5 - Nonorganic vaginismus	1
F54.X - Psychological and behav factor assoc with disord or dis EC	1
F60 - Specific personality disorders	3
F60.0 - Paranoid personality disorder	8
F60.1 - Schizoid personality disorder	4
F60.2 - Dissocial personality disorder	5

- (8) Please confirm or otherwise: If a patient is already under the care and receiving treatment at a specialist tertiary service does this meet automatic exclusion criteria (assuming that treatment and assessment was planned to continue)

No

- (9) Please provide a copy of the full confidentiality policy /policies covering periods 2014 – 2018

In response to this question, the Trust has two documents:

1. The current 'Confidentiality Code of Conduct for Staff', issued in June 2016.
2. The previous and expired version of 'Confidentiality Code of Conduct for Staff', issued in April 2014

Both the above documents are attached [pasted into the following pages]