NHS

The Tavistock and Portman
NHS Foundation Trust

Freedom of Information Act 2000 disclosure log entry

Reference
22-23271

Date response sent
16/12/22

Subject
Last DET Ethics Board Report and Risk Assessments/Recommendations for D10 Training Course.

Details of enquiry
Please could you email a copy of the most recent Ethics Board Commitee Report and any associated risk assessments/recommendations for the D10 training , both in person and online.

Response sent

1. **Trust Ethics Committee (TREC)**
   The most recent TREC report available is for the academic year 2020-21 and is attached. It has been redacted to remove all personal details as we cannot provide you with patient identifiable data nor trainee identifiable data, as this is exempt under section 40(2) of the Freedom of Information Act 2000, which is explained further below.*

   The TREC report for academic year 2021-22 has not yet been issued.

   The Terms of Reference of the TREC explains that its purpose and scope are generic - to approve applications for research and data programmes, and to ensure that the research training experiences of all DET students' complies with recognised ethical standards, and protect the rights, safety, and dignity of all actual or potential trainees and participants within a particular research piece. We thought you might be interested to read, and have therefore attached, the TREC Membership, Constitution and Terms of Reference for 2021-22.

   The purpose of TREC is not to assess and make recommendations on D10 or any other DET courses or lectures/webinars, and it has not done so.

2. Risk Assessments

   The Trust Research Ethics Committee does not consider risk assessments or make recommendations in relation to whole courses. Individual risk assessments or recommendations in relation to research proposals are not disclosable as they relate to individuals.

The Trust Research Ethics Committee does not consider risk assessment, in its assessment of applications, individual assessors will confirm that a risk assessment has been carried out by the student , as appropriate. The application form is signed off by the supervisor prior to submission to TREC.

* We have engaged s 40(2) of FOIA because some redacted information within the TREC report included personal information, any provision of this to you would contravene the UK General Protection Regulation or the Data Protection Act 2018.   Section 40 is an absolute exemption that does not require the authority to carry out the public interest test.

The Trust also recognises that its employees, staff, patients and students have a rightful expectation that details of their training, employment, and treatment, are handled confidentially.

The Tavistock and Portman NHS Foundation Trust

Tavistock Research Ethics Committee
Detailed Applications Summary 2020-21

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Summary of Findings

The committee has received 83 applications for 2020/21. This marks a decrease in applications by 13 from the previous session (likely to increase).

It is important to note that of 83 applications processed, 36 Masters applications (D10D and M6) are being considered by a TREC sub committee composed of the Research Lead and the Head of Academic Governance and Quality Assurance (SCarrington) and the Senior Quality Assurance Officer (PJeram). Of the 47 PGR applications, 3 PGR TREC Applications to amend previously approved ethical approval sessions (2017/18 and 2018/19) were approved

Please see summary below from the data gathered:

1. 83 applications processed
2. 1 Applications approved by an External Ethical Approval body – HRA
3. 3 of the PGR applications were applications to seek amendment to previously approved TREC applications from previous sessions
4. Of the doctoral TREC applications received, M80 (20 applications) accounts for the highest number of applications processed by the committee, the second being M4 (15)
5. 3 TREC Applications were received declaring that research would be convened outside of the UK (Norway)
6. A total of 11 students requested amendments following previous approval of ethics declaring difficulties with recruitment of participants (mostly attributed to the pandemic)
7. Currently 19 TREC Members/Ex Officio Members who assess doctoral TREC applications. A breakdown of Assessors activities is detailed in Figure 6/7. In summary:

   - D10D Team assessed 2 PGR TREC applications
   - M10 team assessed 6 PGR TREC applications
   - D55 Team assessed 7 PGR TREC applications
   - M4 Team assessed 12 PGR TREC applications
   - M80 Team assessed 4 PGR TREC applications
   - M80N Team assessed 4 PGR TREC applications
   - ERS/SC/AHurley assessed 11 PGR TREC applications

TREC Applications 2020-2021

The committee has received 83 applications to date for the 2020/21 academic session. Detailed breakdowns are presented below

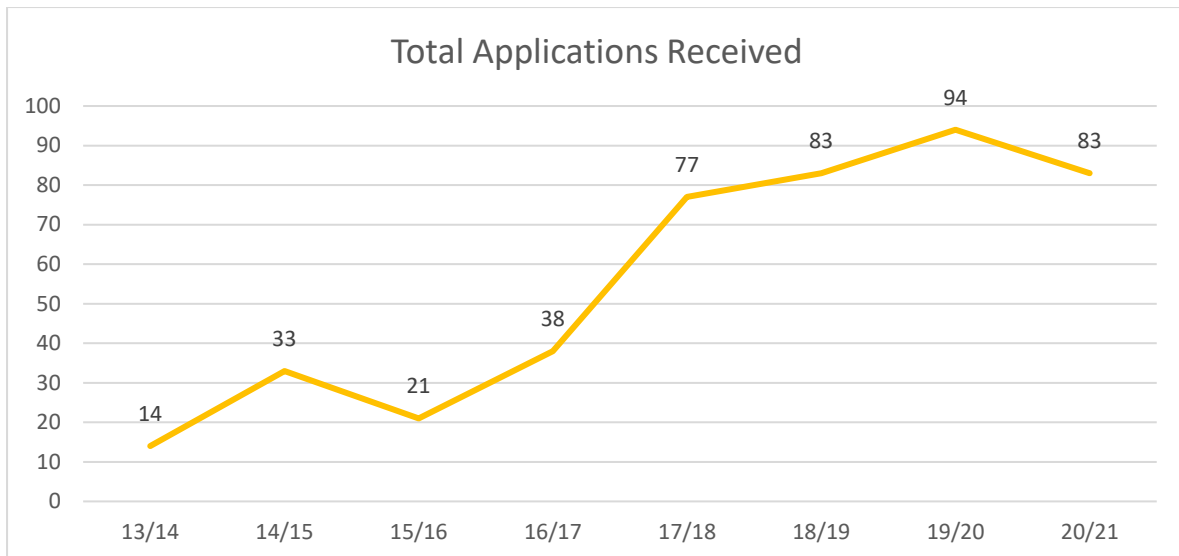**Figure 1: Detailed Breakdowns of Applications Received from 2013/14:**

Total Applications Received

Figure 2: Breakdowns of Applications Received in 2020/21 by Programme:

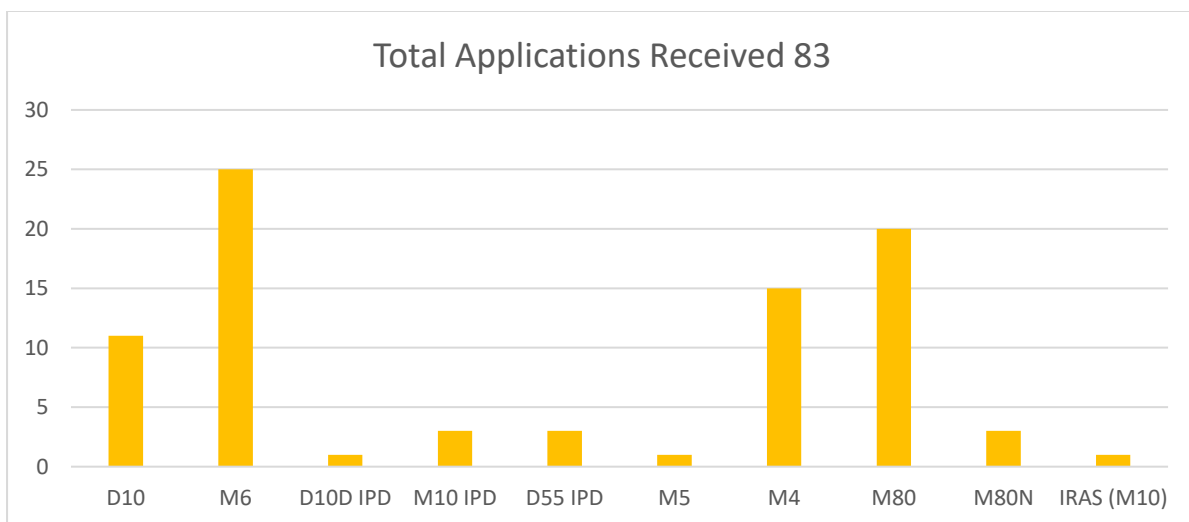Total Applications Received 83

Figure 3: Detailed Breakdowns of Applications Received by Programme:

Programme	Total Applications Received
Consulting and Leading in Organisations: Psychodynamic and Systemic Approaches - D10	11
Systemic Psychotherapy - M6	25
Advanced Practice and Research: Consultation and the Organisation - D10D	1
Advanced Practice and Research: Systemic Psychotherapy - M10	3
Advanced Practice and Research (Social Work and Social Care) - D55	3
Advanced Practice and Research (Educational and Community Psychology) - M5	1

Child, Community and Educational Psychology - M4	15
Child and Adolescent Psychoanalytic Psycho-therapy - M80 Tavistock	20
Child and Adolescent Psychoanalytic Psycho-therapy - M80 NSCAP	3
IRAS (M10)	1

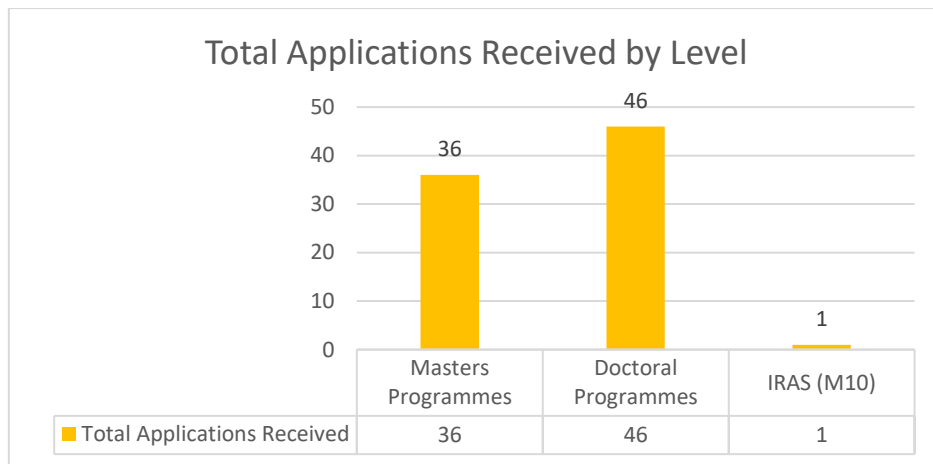**Figure 4: Detailed Breakdowns of Applications Received in 2020/21 by Level:**

Total Applications Received by Level

	Masters Programmes	Doctoral Programmes	IRAS (M10)
Total Applications Received	36	46	1

Figure 5: Detailed Breakdowns of Applications Received in 2020/21 by Outcomes:

TREC Application Outcomes

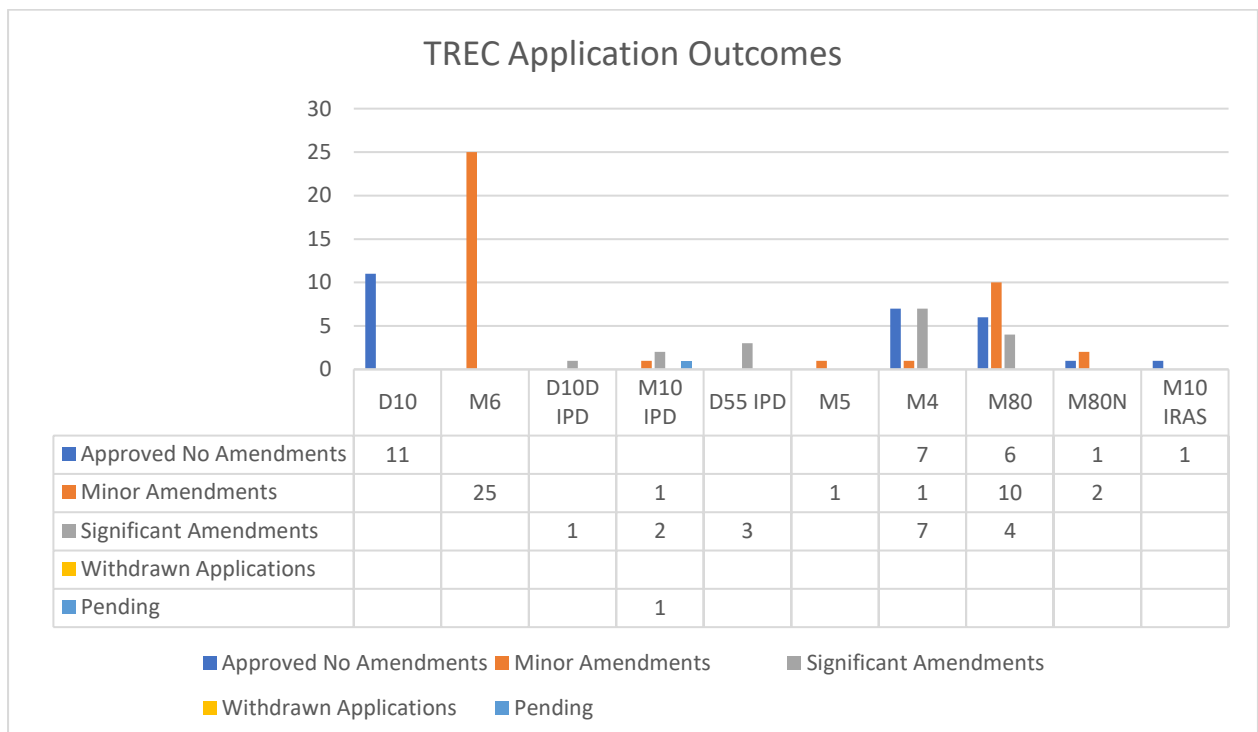|	D10	M6	D10D IPD	M10 IPD	D55 IPD	M5	M4	M80	M80N	M10 IRAS
Approved No Amendments	11						7	6	1	1
Minor Amendments		25		1		1	1	10	2	
Significant Amendments			1	2	3		7	4		
Withdrawn Applications										
Pending				1						

Figure 6: Assessor Allocations/Received by Programme:

Programme	Total TREC Applications Assessed/Allocated	Total TREC Applications Assessed Second Time Following Significant Amendments	Applications Received by Programmes
D10D	2	0	1
D55	7	0	3
M10	6	0	4 (Includes 1 IRAS Application)
M4 and M5	12	0	16
M80	4	0	20
M80N	4	0	3
NA – Includes, EReyes-Simpson, SCarrington, AHurley	11	2	

Figure 7: Detailed Assessor Allocations by Programme:

Assessor	Programme	Number of Applications Assessed
Simon Tucker	D10D	2
Andrew Cooper	D55	2
Anna Harvey	D55	5
Claire Parkinson	D55	1
Britt Krause	M10	3
Sarah Helps	M10	3
Sarah Helps	M6	25
Adam Styles	M4	5
Brian Davis	M4	2
Dale Bartle	M4	1
Judith Mortell	M4	1
Richard Lewis	M4	1
Stephanie Satariano	M4	1
Brinley Yare	M80N	2
Jocelyn Catty	M80N	2
Lerleen Willis	M80N	4
Anne Hurley		1
Elisa Reyes Simpson		2
Simon Carrington		9
Paru Jeram	D10	11

Trust Ethics Committee (TREC)

Membership, Constitution and Terms of Reference

1. Membership

Chair	Appointed by the ***Dean of Postgraduate Studies***
Representatives of Trust-based Research Degree and Masters programmes	Nominated by the ***Chair of the Trust Research Ethics committee***
Specialist Representatives	Nominated by the ***Chair of the Trust Research Ethics committee*** (the number of representatives to be determined by need)
External Representatives	Nominated by the Committee and approved by the ***Dean of Postgraduate Studies [or by the Chair acting on behalf of the Dean of Postgraduate Studies]*** (the Committee shall not have more than two representatives at any one time)
Co-options	Nominated by the Committee and approved by the ***Dean of Postgraduate Studies*** [or by the Chair acting on behalf of the ***Dean of Postgraduate Studies]*** (the number of co-options to be determined by need)

The committee has co-opted a lay representative.

2.   Constitution

2.1   The Tavistock and Portman NHS Foundation Trust Research Ethics Committee has been established by the ***Tavistock and Portman NHS Foundation Trust, the University of East London and University of Essex*** and is accountable to those bodies. Members shall be nominated in accordance with the rules approved by the ***Tavistock and Portman NHS Foundation Trust, the University of East London and University of Essex****.* The membership of the Committee shall be so constituted to include persons having experience of research involving the use of human participants and persons having relevant knowledge of the broader ethical issues relating to the conduct of this kind of research.

The committee is accountable in the first instance to the Trust Quality Assurance and Enhancement Committee.

2.2   As far as is practicable the membership of the Committee shall include a balance of members whose expertise broadly reflects:
   a) Those discipline areas from which the majority of applications for the approval of research programmes involving the use of human participants, currently and in the past, have arisen, and
   b) An expert knowledge of the broader legal, moral and ethical issues relating to the conduct of research involving the use of human participants.

2.3   The Committee has the power to co-opt additional members. Such persons serve as full members of the Committee for a period not normally exceeding the duration of the project upon which their expertise and advice is required.

2.4   Notwithstanding the provision set out in clause 2.3 above, the Committee shall have the power to seek whatever expert advice it deems appropriate, either from within the ***Universities*** or outside without the necessity to resort to co-option. In such cases advice shall be sought through the Chair, or through a person specifically designated by the Chair to make such inquiry.

2.5   The membership of the Committee, including co-opted members, shall not ordinarily exceed fifteen.

2.6   Members shall be appointed for two years. One third of the membership shall retire at the end of each year. All members other than co-opted members shall be eligible for re-nomination and re-appointment.

2.7   The quorum of the Committee for meetings shall be one half of the total membership.

2.8   The Committee shall meet at least once each academic year. Additional meetings shall be convened as and when the Committee considers a meeting necessary.

2.9   There shall be a Chair of the Committee who shall be nominated by the ***Dean of Postgraduate Studies****.*

2.10 The Chair of the committee shall be a member of the University of East London Research Ethics Committee.

3.   Terms of Reference

3.1   The Committee shall have the authority to consider and approve applications for the approval of research programmes human participants and human data. In giving such approval it shall have the power to make any recommendations, or to require any revisions or amendments to be made to any proposal submitted to the Committee, in order to ensure that it complies with the requirements set out in section 3.3 below.

3.2   In conducting its business the Committee shall pay due regard to, and act in accordance with, the conventions set out in the Declaration of Helsinki, the Concordat to Support Research Integrity (2012); the University's Codes of Practice for Research policy, the University's Code of Practice for Research Ethics, Procedures for the Investigation of Misconduct in Research and all relevant internal regulations, governance, policies and guidance.

3.3   The Committee shall have the following powers to act on behalf of The ***Tavistock and Portman NHS Foundation Trust, University of East London and University of Essex***:

To review proposals for research programmes involving the use of human participants to ensure that research within the Trust, and research conducted elsewhere by students at the ***Tavistock and Portman NHS Foundation Trust*** as part of their scholarly activities, conform with recognised codes of ethical conduct and that there are adequate safeguards to protect the participants, that the work is being carried out in suitable, properly equipped surroundings with due observance of established safety practices, and that there is adequate indemnity.

> ***a)***   To ***review proposals*** for research involving human participants to be carried out by students of the ***Tavistock and Portman NHS Foundation Trust*** in places other than the ***Tavistock and Portman NHS Foundation Trust***. Where there is no Ethics Committee at the other institution, the ***Tavistock and Portman NHS Foundation Trust Ethics Committee*** will act; where a committee exists at the other institution, the ***Tavistock and Portman NHS Foundation Trust Ethics Committee*** must be notified of approved proposals and will determine whether or not to be involved;
>
> **b)**   To ensure that explicit true consent is obtained from those who will participate in such research and that they are given clear and adequate explanation of the projected programme of investigation, understand fully any risk that might be involved in their taking part, and are informed as to whether their participation in the programme will benefit them directly or will produce results which will contribute to the long-term benefit of individuals some time in the future.
>
> **c)**   To review the ***Tavistock and Portman NHS Foundation Trust*** procedures and policies relating to the approval of research programmes involving human participants and to recommend changes where it is deemed necessary to take into account changes in law or approved practice. These will include, inter alia, reviewing institutional policies relating to indemnity insurance and data protection.

3.4 Where the Committee has peer reviewed an application and is satisfied that, subject to the ascertainment and verification of particular matters, the research programme conforms with recognised ethical practices, will take place in suitable surroundings, and proper safety practices will be observed, and can be approved, the Committee may authorise the Chair, taking such advice as s/he or the Committee may consider appropriate, to act on behalf of the Committee in granting approval.

3.5 Ethical approval is limited to two calendar years for taught master's students' research projects.

3.6 Members of TREC may not approve their own research or the research of a postgraduate student, whom the member is a supervisor of.

3.7 Members may also not approve the research of anyone working in collaboration with their own research.

3.8 The committee will advise the *University of East London and University of Essex* on matters pertaining to the ethics of research involving human participants;

3.9 The committee will provide authoritative and definitive guidance to the *University of East London and University of Essex* on any specific ethics problem that is referred to it;

4.0 The committee will make recommendations to the *University of East London and University of Essex* as appropriate.

4.1 To submit to the relevant SREC the unconfirmed minutes of each meeting.

4.2 The committee will make available an annual report to the *University of East London and* the *University of Essex* and the *Trust Academic Governance and Quality Assurance (AGQA)* Committee.