# Freedom of Information Act 2000 disclosure log entry

#### Reference

22-23061

#### Date sent

01/09/22

### Subject

Local trust disciplinary processes and GMC referrals by ethnicity

## Details of enquiry

- 1. How many doctors in the last 5 years have been placed under a formal Trust disciplinary process? If the full timeframe is not available, or data held for a different timeframe, please provide what is available.
- 2. How many doctors in the last 5 years have been referred to the General Medical Council? If the full timeframe is not available, or data held for a different timeframe, please provide what is available.
- What proportion of these local disciplinaries and GMC referrals of doctors are made up of people of Black, Asian and Ethnic Minorities (BAME)? I am happy to receive raw numbers or a proportion of total referrals for each

### Response sent

- How many doctors in the last 5 years have been placed under a formal Trust disciplinary process? If the full timeframe is not available, or data held for a different timeframe, please provide what is available.
  ≤5 doctors were placed under a formal disciplinary process.
  The exact number is masked to ensure subject anonymity, under the Freedom of Information Act 2000 s40(2) personal information. This exemption covers personal information about third parties and where complying with the request would contravene the UK General Protection Regulation or the Data Protection Act 2018. Section 40 is an absolute exemption that does not require the authority to carry out the public interest test. Our decision to engage this exemption is based on the following points:
  - The Trust recognises that employees have a rightful expectation that details of their employment are treated confidentially by their employer.
  - The Trust is relatively small, having only employed between 51 to 63 doctors, at any one time over the last 5 years —who they all know each other.
  - Were the exact number to be provided, it could be combined with other information already in the public domain or gleaned from other sources, leading to the identification of certain individual/s.
- How many doctors in the last 5 years have been referred to the General Medical Council? If the full timeframe is not available, or data held for a different timeframe, please provide what is available.
  ≤5. The exact number is withheld to ensure subject anonymity, under the Freedom of Information Act 2000 s40(2) personal information. Please refer to our response to Q1 for more details on the engagement of this exemption
- 3. What proportion of these local disciplinaries and GMC referrals of doctors are made up of people of Black, Asian and Ethnic Minorities (BAME)? I am happy to receive raw numbers or a proportion of total referrals for each



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